

**The Government of Sri Lanka
Ministry of Health**

**Additional Financing for Sri Lanka COVID-19
Emergency Response and Health Systems
Preparedness Project (P177714)**

**ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)**

03 September 2021

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Democratic Socialist Republic of Sri Lanka [Recipient] shall implement the Additional Financing for the Sri Lanka COVID-19 Emergency Response and Health System Preparedness Project (the **Project**), with the involvement of the Ministry of Health (MoH). The International Development Association (hereinafter the Association) and the International Bank for Reconstruction and Development (hereinafter the Bank) (collectively the World Bank) has agreed to provide financing for the Project.
2. The Recipient shall carry out the Project in accordance with the Environmental and Social Standards (**ESSs**). To this end, this Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions to be carried out or caused to be carried out by the Recipient, including the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, grievance management and the environmental and social assessments and instruments to be prepared or updated, disclosed, consulted, adopted and implemented under the ESCP and the ESSs, all in a manner acceptable to the Bank.
3. Implementation of the material measures and actions set out in this ESCP shall be monitored and reported to the Bank by the Recipient as required by the ESCP and the provisions of the Financing Agreement.
4. As agreed by the Bank and the Recipient, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Ministry of Health on behalf of the Recipient shall update the ESCP to reflect the agreed-upon changes. Agreement on changes to the ESCP shall be documented through an exchange of letters signed between the Bank and the Ministry of Health. The Ministry of Health shall promptly disclose the updated ESCP.
5. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

NAME OF PROJECT:

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	REGULAR REPORTING: Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, stakeholder engagement activities and grievances log.	Quarterly throughout Project implementation. Reports shall be submitted no later than 15 business days after the end of each reporting period.	Ministry of Health
B	INCIDENTS AND ACCIDENTS: Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers <i>including, inter alia, any COVID outbreak in the Project area</i> . Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.	Notify the Bank within 24 hours after learning of the incident or accident; followed by a report with details within 72 hours on the incident or accident.	Ministry of Health
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	ORGANIZATIONAL STRUCTURE: The Ministry of Health shall establish and maintain adequate capacity within the PMU with qualified staff and resources to support management of ESHS risks and impacts of the Project, including a full-time Senior Environment, Health and Safety & Social Specialist to support the management of ESHS risks and impacts of the Project and implement the ESCP, Environment & Social Management Framework (ESMF), Stakeholder Engagement Plan (SEP) and Labour Management Procedures (LMP).	A Senior Environment, Health & Safety, and Social Specialist has been assigned to the project as of 30 th August, 2021.	Ministry of Health

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>1.2 ENVIRONMENTAL AND SOCIAL ASSESSMENT/MANAGEMENT PLANS AND INSTRUMENTS/ CONTRACTORS</p> <p>a. Update, disclose and adopt the <i>Environmental and Social Management Framework (ESMF) dated May 2021</i> with any additional information pertaining to the second vaccine AF, the Environmental, Health and Safety Guidelines (EHSGs), and other relevant Good International Industry Practice (GIIP), including relevant WHO guidelines.</p> <p>b. Prepare, disclose, consult, adopt and implement any environmental and social management plans (e.g. health-care waste management plans), instruments or other measures required for the respective Project activities based on the assessment process, in accordance with the ESSs, the ESMF, the EHSGs, and other relevant Good International Industry Practice (GIIP), including relevant WHO guidelines to, inter alia, ensure access to and allocation of Project benefits in a fair, equitable and inclusive manner, taking into account the needs of individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable with regard to access to vaccines.</p> <p>c. Incorporate the relevant aspects of this ESCP, including, inter alia, the ESMF, any environmental and social management plans or other instruments, ESS2 requirements, and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts.</p> <p>d. Adopt procedures, protocols and/or other measures to ensure Project beneficiaries that receive vaccines under the Project do so under a program that does not include forced vaccination and is acceptable to the Bank, as set out in the ESMF.</p>	<p>a. ESMF shall be updated, disclosed, and adopted before the Effective Date of the AF.</p> <p>b. Plans/instruments shall be prepared as per the ESMF, disclosed, consulted and adopted before the carrying out of the relevant Project activities, and thereafter implemented throughout the carrying out of such activities.</p> <p>c. The relevant ESHS measures shall be incorporated into the procurement documents before launching the procurement process for the relevant Project activities and shall thereafter complied with throughout the carrying out of such activities.</p> <p>d. Before the carrying out of the relevant Project activities, and thereafter implemented throughout the carrying out of such activities.</p>	<p>Ministry of Health</p> <p>Ministry of Health and the Specific Health Care Facilities</p> <p>Ministry of Health Project Suppliers</p> <p>Ministry of Health</p>

NAME OF PROJECT:

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.3	<p>EXCLUSIONS: Exclude the following types of activities as ineligible for financing under the Project:</p> <ul style="list-style-type: none"> • Activities that may cause long term, permanent and/or irreversible (e.g. loss of major natural habitat) adverse impacts on wetlands, protected terrestrial areas /marine protected areas within Sri Lanka. • Activities that have high probability of causing serious adverse effects to human health and/or the environment not related to treatment of COVID-19 cases, such as transport and disposal of highly infectious waste that have not been duly treated for the purpose. • Activities that may have significant adverse social impacts and may give rise to significant social conflict • Activities that may affect lands or rights of indigenous people or other vulnerable minorities • Activities that may involve permanent resettlement or land acquisition or adverse impacts on cultural heritage • All the other excluded activities set out in the ESMF of the Project. 	During the assessment process conducted under action 1.2.a. above.	Ministry of Health
1.4.	<p>CONTINGENT [EMERGENCY] [EARLY] RESPONSE FINANCING</p> <p>a. Ensure that the <i>CERC Manual as specified in the financing agreement</i> includes a description of the ESHS assessment and management arrangements including <i>CERC-ESMF</i> for the implementation of <i>CERC component</i> in accordance with the ESSs.</p> <p>b. Prepare, disclose, consult and adopt any environmental and social (E&S) management plans or instruments which may be required for activities under <i>CERC component</i> of the Project, in accordance with the <i>CERC Manual, CERC-ESMF</i> and the ESSs, and thereafter implement the measures and actions required under said E&S management plans or instruments, within the timeframes specified in said E&S management plans or instruments.</p>	<p>a) The adoption of the <i>CERC Manual & the CERC-ESMF</i> in form and substance acceptable to the Bank is a withdrawal condition under Section [XXX] of Schedule 2 of the [Financing Agreement/ Loan Agreement] for the Project.</p> <p>b) The E&S management plans or instruments shall be prepared, disclosed, consulted, and thereafter adopted before the carrying out of the relevant Project activities under <i>CERC component</i>. The E&S management plans or instruments shall be implemented in accordance with their terms, throughout Project implementation.</p>	Ministry of Health
ESS 2: LABOR AND WORKING CONDITIONS			

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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.1	<p>LABOR MANAGEMENT: Adopt and implement the <i>revised Labor Management Plan (LMP) dated Sep 2021</i> and the project shall be carried out in accordance with the applicable requirements of ESS2 including through, inter alia, implementing adequate occupational health and safety measures (including personal protective equipment, and emergency preparedness and response measures), setting out grievance mechanisms for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms.</p>	The LMP dated Sep 2021 shall be implemented throughout the period of Project implementation.	Ministry of Health
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, measures to: carry out the purchase, storage, transportation and handling of vaccines (including, ultra-cold chain management) in a safe manner and in accordance with the EHSs, and other relevant GIIP including relevant WHO guidelines; and adequately manage and dispose of health care wastes (including, vaccines) and other types of hazardous and non-hazardous wastes.</p>	Throughout Project implementation.	Ministry of Health
3.2	<p>While upgrading existing and/or installing new equipment in vaccination centers, the Recipient shall ensure adoption of energy and water efficiency measures consistent with ESS3, as guided in the ESMF, and as per the national law, guidelines and policies. The recipient will consider the need for energy and fuel efficiency in the procurement and operation of project-financed vehicles and cold-chain infrastructure</p>	Throughout Project implementation.	Ministry of Health
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>COMMUNITY HEALTH AND SAFETY: Relevant aspects of this standard shall be considered, as needed, under action 1.2 above including, inter alia, measures to: minimize the potential for community exposure to communicable diseases; establish and implement appropriate quality management systems to manage the risks and the impacts that services provided and activities carried out under the Project may have on community health and safety; manage the risks of the use of security personnel; and prevent and respond to sexual exploitation and abuse, and sexual harassment.</p>	Throughout Project implementation.	Ministry of Health

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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
4.2	The Recipient will implement emergency preparedness measures in case of accidents/ emergencies, e.g. a fire response or natural phenomena event.	Throughout Project implementation.	Ministry of Health
4.3	<p>USE OF MILITARY OR SECURITY PERSONNEL: Given the involvement of military in project activities, the following measures shall be adopted to ensure that the engagement of Security Personnel in the implementation of Project activities is carried out in accordance with the ESSs:</p> <ul style="list-style-type: none"> a. Assess the risks and impacts of engagement of the military or security personnel, as part of the assessment referred to in action 1.2 a) above, and implement measures to manage such risks and impacts, guided by the principles of proportionality and GIIP, and by applicable law, in relation to hiring, rules of conduct, training, equipping, and monitoring of such military or security personnel; b. Ensure standards, protocols and codes of conduct are followed for the selection and use of security personnel, and ensure that such personnel have not engaged in past unlawful or abusive behavior, including sexual exploitation and abuse (SEA), sexual harassment (SH) or excessive use of force; c. Ensure that such personnel is adequately instructed and trained, prior to deployment and on a regular basis, on the use of force and appropriate conduct (including in relation to civilian-military engagement, SEA and SH, and other relevant areas), as set out in the ESMF; d. Ensure that the stakeholder engagement activities under the Stakeholder Engagement Plan (SEP) include a communication strategy on the involvement of military or security personnel under the Project; and e. Ensure that any concerns or grievances regarding the conduct of [security or military] personnel are received, monitored, documented (taking into account the need to protect confidentiality), resolved through the Project's grievance mechanism (see action 10.2 below) and reported to the Bank no later than 30 days after being received, from the moment the security forces deployment is planned till the end of the Project implementation 	<p>a) Risk assessment has been carried out and required measures have been adopted during the deployment of security or military personnel under the Project.</p> <p>b), c) and d) before deploying [security or military] personnel under the Project and thereafter throughout Project implementation.</p> <p>e) as set out under actions 10.1 and 10.2 respectively.</p>	Ministry of Health and officers in charge of project sites

NAME OF PROJECT:

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
	Not relevant	Not applicable	Not applicable
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above.	Throughout Project implementation.	Ministry of Health
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	MEASURES FOR INDIGENOUS PEOPLES: The Project shall be carried out in accordance with the applicable requirements of ESS7, including, inter alia: (i) ensuring that the Stakeholder Engagement Plan (SEP) includes meaningful consultations with indigenous peoples throughout Project implementation; (ii) implementing procedures, protocols and/or other measures to ensure that indigenous peoples have access to Project benefits in a fair, equitable, inclusive and culturally appropriate manner, as relevant, with regards to vaccines [as set out in the ESMF and the SEP; and (iii) implementing measures to ensure that indigenous peoples are able to access the Project's grievance mechanism in a culturally appropriate manner.	Throughout Project implementation.	Ministry of Health
ESS 8: CULTURAL HERITAGE			
	Not Relevant	Not applicable	Not applicable
ESS 9: FINANCIAL INTERMEDIARIES			
	Not Relevant	Not applicable	Not applicable
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN: Adopt and implement the <i>updated Stakeholder Engagement Plan (SEP) dated Sep 2021</i> , which is consistent with ESS10, which includes measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	The SEP dated Sep 2021 shall be implemented throughout the period of Project implementation.	Ministry of Health

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10.2	<p>GRIEVANCE MECHANISM: The grievance mechanism established under the parent project will be utilized, publicized, maintained and operated to receive and facilitate resolution of concerns and grievances in relation to the AF, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall also receive, register and address concerns and grievances related to the, sexual exploitation and abuse, sexual harassment in a safe and confidential manner, including through the referral of survivors to gender-based violence service providers.</p> <p>The grievance mechanism shall also receive, register and address concerns arising from unintended health consequences after vaccination especially those resulting in serious adverse effects, [and, as appropriate, requests for compensation].</p>	Throughout Project implementation.	Ministry of Health
CAPACITY SUPPORT (TRAINING)			
CS1	Project Management Unit and other relevant implementing support staff responsible for the Project will receive training on the Project's ESHS plans and instruments, fair, equitable and inclusive access and allocation of Project benefits, including with regards to vaccines, and the roles and responsibilities of different key agencies in the ESF implementation.	Throughout Project implementation.	Ministry of Health
CS2	<p>In consultation with relevant stakeholders, further training for personnel involved in the implementation of Project activities will be arranged on following topics:</p> <ul style="list-style-type: none"> • Designing and operating vaccination clinic facilitating accessibility, having gender-sensitive facilities and providing fair/equitable treatment. • Safe administration of the Vaccine and medical waste management • COVID-19 Infection Prevention and Control Recommendations • Laboratory biosafety guidance related to the COVID-19 • Specimen collection and shipment • Behavior change communication, community engagement & carrying out ongoing consultations & feedback. • Operationalizing and effective GRM, information hotlines etc. • Sexual Exploitation and Abuse/Sexual Harassment & Code of Conduct • Managing Adverse Effects Following Immunization (AEFI); 	Throughout Project implementation.	Ministry of Health

NAME OF PROJECT: